# **Duality in Logic, Games and Categories**

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**Duality Theory** 

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# Logic

# **Physics**





#### What are the symmetries of logic?

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## A logical space-time



Emerges in the semantics of low level languages

## The basic symmetry of logic

The logical discourse is **symmetric** between Player and Opponent

Claim: this symmetry is the foundation of logic

So, what can we learn from this basic symmetry?

## **De Morgan duality**

The duality relates the conjunction and the disjunction of classical logic:

$$(A \lor B)^* \cong B^* \land A^*$$
  
 $(A \land B)^* \cong B^* \lor A^*$ 

### De Morgan duality in a constructive scenario

Can we make sense of this involutive negation

$$A^{**} \cong A$$

in a constructive logic like intuitionistic logic?

In particular, can we decompose the intuitionistic implication as

$$A \Rightarrow B \cong A^* \lor B$$

### **Guideline: game semantics**

Every proof of formula *A* initiates a dialogue where

Proponent tries to convince Opponent

Opponent tries to refute Proponent

An interactive approach to logic and programming languages

#### The formal proof of the drinker's formula



# Duality

Proponent Program plays the game A



Opponent Environment

plays the game

 $\neg A$ 

Negation permutes the rôles of Proponent and Opponent

# Duality

Opponent Environment

plays the game

 $\neg A$ 



Proponent Program

plays the game

A

Negation permutes the rôles of Opponent and Proponent

## Classical duality in a boolean algebra

Negation defines a **bijection** 



between the boolean algebra B and its opposite boolean algebra  $B^{op}$ .

#### Intuitionistic negation in a Heyting algebra

Every object  $\perp$  defines a Galois connection



between the Heyting algebra H and its opposite algebra  $H^{op}$ .

 $a \leq_H \bot \frown b \quad \iff \quad b \leq_H a \multimap \bot \quad \iff \quad a \multimap \bot \leq_{H^{op}} b$ 

### **Double negation translation**

Every object  $\bot$  defines a Galois connection



between the Heyting algebra H and its opposite algebra  $H^{op}$ .

The negated elements of a Heyting algebra form a Boolean algebra.

# The functorial approach to proof invariants

Cartesian closed categories

#### **Cartesian closed categories**

A cartesian category  $\mathscr{C}$  is closed when there exists a functor

 $\Rightarrow : \mathscr{C}^{op} \times \mathscr{C} \longrightarrow \mathscr{C}$ 

and a natural bijection

 $\varphi_{A,B,C} \quad : \quad \mathscr{C}(A \times B, C) \cong \mathscr{C}(B, A \Rightarrow C)$ 

#### The free cartesian closed category

The objects of the category free-ccc( $\mathscr{C}$ ) are the formulas  $A, B ::= X | A \times B | A \Rightarrow B | 1$ where X is an object of the category  $\mathscr{C}$ .

The morphisms are the simply-typed  $\lambda$ -terms, modulo  $\beta\eta$ -conversion.

In particular, the  $\beta\eta$ -normal forms provide a "basis" of the free ccc.

# The simply-typed $\lambda$ -calculus

Variable	$\overline{x:A \vdash x:A}$
Abstraction	$\frac{\Gamma, \boldsymbol{x} : A \vdash P : B}{\Gamma \vdash \lambda \boldsymbol{x} \cdot P : A \Rightarrow B}$
Application	$\frac{\Gamma \vdash P : A \Rightarrow B \qquad \Delta \vdash Q : A}{\Gamma, \Delta \vdash PQ : B}$
Weakening	$\frac{\Gamma \vdash P : B}{\Gamma, x : A \vdash P : B}$
Contraction	$\frac{\Gamma, x : A, y : A \vdash P : B}{\Gamma, z : A \vdash P[x, y \leftarrow z] : B}$
Exchange	$\frac{\Gamma, x : A, y : B, \Delta \vdash P : C}{\Gamma, y : B, x : A, \Delta \vdash P : C}$

## The simply-typed $\lambda$ -calculus [with products]

Pairing	$\Gamma \vdash P : A \qquad \Gamma \vdash Q : B$
	$\Gamma \vdash \langle P, Q \rangle : A \times B$
Left projection	$\frac{\Gamma \vdash P : A \times B}{\Gamma \vdash \pi_1 P : A}$
Right projection	$\frac{\Gamma \vdash P : A \times B}{\Gamma \vdash \pi_2 P : B}$
Unit	<u> </u>

 $\overline{\Gamma \vdash *: 1}$ 

#### **Execution of** $\lambda$ **-terms**

In order to compute a  $\lambda$ -term, one applies the  $\beta$ -rule

$$(\lambda x.P) Q \longrightarrow_{\beta} P[x := Q]$$

which substitutes the argument Q for every instance of the variable x in the body P of the function. One may also apply the  $\eta$ -rule:

$$P \longrightarrow_{\eta} \lambda x. (Px)$$

#### **Proof invariants**

Every ccc  $\mathscr{D}$  induces a proof invariant [-] modulo execution



A purely syntactic and type-theoretic construction

# An apparent obstruction to duality

Self-duality in cartesian closed categories

## **Duality in a boolean algebra**

Negation defines a **bijection** 



between the boolean algebra B and its opposite boolean algebra  $B^{op}$ .

## **Duality in a category**

One would like to think that negation defines an **equivalence** 



between a cartesian closed category  $\mathscr{C}$  and its opposite category  $\mathscr{C}^{op}$ .

## However, in a cartesian closed category...

Suppose that the category  $\mathscr{C}$  has an initial object 0. Then,

Every object  $A \times 0$  is also initial.

The reason is that

 $\mathscr{C}(A \times 0, B) \cong \mathscr{C}(0, A \Rightarrow B) \cong singleton$ 

for every object *B* of the category  $\mathscr{C}$ .

## However, in a cartesian closed category...

Suppose that the category  $\mathscr{C}$  has an initial object 0. Then,

Every object  $A \times 0$  is initial... and thus isomorphic to 0.

The reason is that

 $\mathscr{C}(A \times 0, B) \cong \mathscr{C}(0, A \Rightarrow B) \cong singleton$ 

for every object *B* of the category  $\mathscr{C}$ .

### However, in a cartesian closed category...

Every morphism  $f : A \longrightarrow 0$  is an isomorphism.

Given such a morphism  $f : A \rightarrow 0$ , consider the morphism  $h : A \rightarrow A \times 0$  making the diagram commute:



#### In a self-dual cartesian closed category...

$$Hom(A, B) \cong Hom(A \times 1, B)$$

$$\cong Hom(1, A \Rightarrow B)$$

$$\cong$$
 Hom $(\neg (A \Rightarrow B), \neg 1)$ 

$$\cong$$
 Hom $(\neg (A \Rightarrow B), 0)$ 

$$\cong$$
 empty or singleton

Hence, every such self-dual category  $\mathscr{C}$  is a preorder !

# The microcosm principle

An idea coming from higher-dimensional algebra

## The microcosm principle



No contradiction (thus no formal logic) can emerge in a tyranny...

## A microcosm principle in algebra [Baez & Dolan 1997]

The definition of a monoid



requires the ability to define a cartesian product of sets

A , B  $\mapsto$   $A \times B$ 

Structure at dimension 0 requires structure at dimension 1

### A microcosm principle in algebra [Baez & Dolan 1997]

The definition of a cartesian category



requires the ability to define a cartesian product of categories

 $\mathcal{A}$ ,  $\mathcal{B}$   $\mapsto$   $\mathcal{A} \times \mathcal{B}$ 

Structure at dimension 1 requires structure at dimension 2

## A similar microcosm principle in logic

The definition of a cartesian **closed** category

 $\mathscr{C}^{op} \quad \times \quad \mathscr{C} \quad \longrightarrow \quad \mathscr{C}$ 

requires the ability to define the **opposite** of a category

 $\mathscr{A} \mapsto \mathscr{A}^{op}$ 

Hence, the "implication" at level 1 requires a "negation" at level 2

## An automorphism in Cat

The 2-functor



transports every natural transformation



to a natural transformation in the opposite direction:



 $\rightarrow$  requires a braiding on  $\mathscr{V}$  in the case of  $\mathscr{V}$ -enriched categories
# Chiralities

A bilateral account of categories

#### From categories to chiralities

This leads to a slightly bizarre idea:

decorrelate the category  $\mathscr{C}$  from its opposite category  $\mathscr{C}^{op}$ 

So, let us define a **chirality** as a pair of categories  $(\mathscr{A}, \mathscr{B})$  such that

 $\mathscr{A} \cong \mathscr{C} \qquad \mathscr{B} \cong \mathscr{C}^{op}$ 

for some category  $\mathscr{C}$ .

Here  $\cong$  means **equivalence** of category

## Chirality

More formally:

#### **Definition:**

A chirality is a pair of categories  $(\mathscr{A}, \mathscr{B})$  equipped with an equivalence:



### A 2-categorical justification

Let *Chir* denote the 2-category with

- ▷ chiralities as objects
- ▷ chirality homomorphism as 1-dimensional cells
- ▷ chirality transformations as 2-dimensional cells

**Proposition.** The 2-category <u>*Chir*</u> is biequivalent to the 2-category <u>*Cat*</u>.

# **Cartesian closed chiralities**

A 2-sided account of cartesian closed categories

### **Cartesian chiralities**

**Definition.** A cartesian chirality is a chirality

▷ whose category *A* has **finite products** noted

 $a_1 \wedge a_2$  true

 $\triangleright$  whose category  $\mathscr{B}$  has **finite sums** noted

 $b_1 \lor b_2$  false

#### **Cartesian closed chiralities**

**Definition.** A cartesian closed chirality is a cartesian chirality

 $(\mathscr{A}, \wedge, true)$   $(\mathscr{B}, \vee, false)$ 

equipped with a pseudo-action

$$\vee \quad : \quad \mathscr{B} \quad \times \quad \mathscr{A} \quad \longrightarrow \quad \mathscr{A}$$

and a bijection

$$\mathscr{A}(a_1 \land a_2, a_3) \cong \mathscr{A}(a_1, a_2^* \lor a_3)$$

natural in  $a_1$ ,  $a_2$  and  $a_3$ .

### Dictionary

The pseudo-action

 $\vee : \mathscr{B} \times \mathscr{A} \longrightarrow \mathscr{A}$ 

reflects the implication

*implies* :  $\mathscr{C}^{op} \times \mathscr{C} \longrightarrow \mathscr{C}$ 

### Dictionary

The isomorphism of the pseudo-action

 $(b_1 \lor b_2) \lor a \cong b_1 \lor (b_2 \lor a)$ 

reflects the familiar isomorphism

 $(x_1 \text{ and } x_2) \text{ implies } y \cong x_1 \text{ implies } (x_2 \text{ implies } y)$ 

of cartesian closed categories.

### **Dictionary continued**

The isomorphism

$$\mathscr{A}(a_1 \land a_2, a_3) \cong \mathscr{A}(a_2, a_1^* \lor a_3)$$

reflects the familiar isomorphism

$$\mathscr{A}(x \text{ and } y, z) \cong \mathscr{A}(y, x \text{ implies } z)$$

of cartesian closed categories.

### **Key observation**

The isomorphism

$$a_1 \text{ implies } a_2 \cong a_1^* \lor a_2$$

deserves the name of

#### « classical decomposition of the implication »

although we work here in a cartesian closed category...

### **Key observation**

This means that the decomposition

$$a_1 \text{ implies } a_2 \cong a_1^* \lor a_2$$

is a principle of logic which comes from the 2-dimensional duality

 $\mathscr{C} \mapsto \mathscr{C}^{op}$ 

rather than from the 1-dimensional duality

 $A \mapsto A^*$ 

specific to classical logic or to linear logic.

# Isbell duality compared to Dedekind-MacNeille completion

A comparison between orders and categories

#### **Ideal completion**

Every partial order A generates a free complete  $\bigvee$ -lattice  $\widehat{A}$ 

 $A \longrightarrow \hat{A}$ 

whose elements are the downward closed subsets of A, with

$$\varphi \leqslant_{\widehat{A}} \psi \iff \varphi \subseteq \psi.$$

$$\widehat{A} = A^{op} \Rightarrow \{0,1\}$$

#### Free colimit completions of categories

Every small category  $\mathscr{C}$  generates a free cocomplete category  $\mathscr{P} \mathscr{C}$ 

 $\mathscr{C} \longrightarrow \mathscr{P}\mathscr{C}$ 

whose elements are the presheaves over  $\mathscr{C}$ , with

 $\varphi \longrightarrow \mathscr{PC} \quad \psi \quad \iff \quad \varphi \stackrel{natural}{\longrightarrow} \quad \psi.$ 

$$\mathscr{PC} = \mathscr{C}^{op} \Rightarrow Set$$

### **Contravariant presheaves**



Replace downward closed sets

#### **Filter completion**

Every partial order A generates a free complete  $\bigwedge$ -lattice  $\check{A}$ 

 $A \longrightarrow \check{A}$ 

whose elements are the upward closed subsets of A, with

$$arphi \ \leqslant_{\widecheck{A}} \ \psi \ \iff \ arphi \ \supseteq \ \psi.$$

$$\check{A} = (A \implies \{0,1\})^{op}$$

#### Free limit completions of categories

Every small category  $\mathscr{C}$  generates a free complete category  $\mathscr{QC}$ 

 $\mathscr{C} \longrightarrow \mathscr{QC}$ 

whose elements are the covariant presheaves over  $\mathscr{C}$ , with

$$\varphi \longrightarrow_{\mathscr{QC}} \psi \iff \varphi \stackrel{natural}{\leftarrow} \psi.$$

$$\mathscr{QC} = \mathscr{P}(\mathscr{C}^{op})^{op} = (\mathscr{C} \Rightarrow Set)^{op}$$

### **Covariant presheaves**



Replaces upward closed sets

#### The Dedekind-MacNeille completion

#### A Galois connection



The completion keeps the pairs  $(\varphi, \psi)$  such that  $\psi = L(\varphi)$  and  $\varphi = R(\psi)$ 

### The Isbell conjugation

One obtains the adjunction



$$\begin{split} L(\varphi) &: Y \mapsto \mathscr{PC}(\varphi, Y) = \int_{X \in \mathscr{C}} \varphi(X) \Rightarrow hom(X, Y) \\ R(\psi) &: X \mapsto \mathscr{QC}(X, \psi) = \int_{Y \in \mathscr{C}} \psi(Y) \Rightarrow hom(X, Y) \end{split}$$

#### The Isbell conjugation



comes from the natural bijections

 $\mathscr{PC}(\varphi, R(\psi)) \cong \int_{X,Y \in \mathscr{C}} \varphi(X) \times \psi(Y) \Rightarrow hom(X,Y) \cong \mathscr{QC}(L(\varphi),\psi)$ 

#### The Isbell conjugation

**Proposition.** Suppose given a contravariant presheaf  $\varphi : \mathscr{C}^{op} \longrightarrow Set$ 

which defines a small colimit in the original category  $\mathscr{C}$ .

In that case,  $L(\varphi)$  is representable and

 $R \circ L(\varphi) \cong \operatorname{colim} \varphi.$ 

Unfortunately, the Isbell envelope does not have limit nor colimits...

#### Back to the fundamental symmetry



#### What does the chirality tell us about games?

# Duality

Proponent Program plays the game A



Opponent Environment

plays the game

 $\neg A$ 

Negation permutes the rôles of Proponent and Opponent

# Duality

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plays the game

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Negation permutes the rôles of Opponent and Proponent

### **Tensor product**



#### Player and Opponent play the two games in parallel

#### Sum







Proponent selects one component

### Product





#### Opponent selects one component

#### **Exponentials**



Opponent opens as many copies as necessary to beat Proponent

# The category of simple games

An idea dating back to André Joyal in 1977

### Simple games

A simple game  $(M, P, \lambda)$  consists of

 $\begin{array}{ll} M & \mbox{a finite set of moves}, \\ P \subseteq M^* & \mbox{a set of plays}, \\ \lambda: M \rightarrow \{-1, +1\} & \mbox{a polarity function on moves} \end{array}$ 

#### such that every play is alternating and starts by Opponent.

Alternatively, a simple game is an alternating decision tree.

#### Simple games

The boolean game  $\mathbb{B}$ :





#### **Deterministic strategies**

A strategy  $\sigma$  is a set of alternating plays of even-length

 $s = m_1 \cdots m_{2k}$ 

such that:

- $-\sigma$  contains the empty play,
- $-\sigma$  is closed by even-length prefix:

 $\forall s, \forall m, n \in M, \qquad s \cdot m \cdot n \in \sigma \implies s \in \sigma$ 

–  $\sigma$  is **deterministic**:

 $\forall s \in \sigma, \forall m, n_1, n_2 \in M, \quad s \cdot m \cdot n_1 \in \sigma \text{ and } s \cdot m \cdot n_2 \in \sigma \Rightarrow n_1 = n_2.$ 

#### Three strategies on the boolean game ${\rm \mathbb B}$





#### **Total strategies**

A strategy  $\sigma$  is **total** when

- for every play s of the strategy  $\sigma$
- for every Opponent move m such that  $s \cdot m$  is a play

there exists a Proponent move *n* such that  $s \cdot m \cdot n$  is a play of  $\sigma$ .
## Two total strategies on the boolean game ${\mathbb B}$





### **Tensor product**

Given two simple games A and B, define

 $A \otimes B$ 

as the simple game

 $M_{A\otimes B} = M_A + M_B$  $\lambda_{A\otimes B} = [\lambda_A, \lambda_B]$  $P_{A\otimes B} = P_A \otimes P_B$ 

where  $P_A \otimes P_B$  denotes the set of alternating plays in  $M^*_{A \otimes B}$  obtained by interleaving a play  $s \in P_A$  and a play  $t \in P_B$ .

## Linear implication

Given two simple games A and B, define

$$A \multimap B$$

as the simple game

$$M_{A \to oB} = M_A + M_B$$
$$\lambda_{A \to oB} = [-\lambda_A, \lambda_B]$$
$$P_{A \to oB} = P_A - P_B$$

where  $P_A \multimap P_B$  denotes the set of alternating plays in  $M^*_{A \multimap B}$  obtained by interleaving a play  $s \in P_A$  and a play  $t \in P_B$ .

## A category of simple games

The category Games has

- the simple games as objects

- the total strategies of the simple game

 $\sigma \in A \multimap B$ 

as maps

$$\sigma \quad : \quad A \quad \longrightarrow \quad B$$

## The copycat strategy

The identity map

$$id_A : A \longrightarrow A$$

is the **copycat** strategy

$$id_A$$
 :  $A \multimap A$ 

defined as

 $id_A = \{ s \in P_{A \multimap A} \mid s = m_1 \cdot m_1 \cdot m_2 \cdot m_2 \cdots m_k \cdot m_k \}$ 

# The copycat strategy



## Composition

Given two strategies

 $\begin{array}{cccc} A & \stackrel{\sigma}{\longrightarrow} & B & \stackrel{\tau}{\longrightarrow} & C \\ \text{the composite strategy} & & & \\ & & & A & \stackrel{\sigma;\tau}{\longrightarrow} & C \\ \text{is defined as} & & & \end{array}$ 

$$\sigma; \tau = \left\{ \begin{array}{cc} u \in P_{A \multimap C} \\ u \upharpoonright s \in \sigma, \exists t \in \tau \\ u \upharpoonright c = t \upharpoonright B \\ u \upharpoonright c = t \upharpoonright C \end{array} \right\}$$

The definition of composition is associative.







## An important isomorphism

The simple game

 $(A \otimes B) \multimap C$ 

is isomorphic to the simple game

$$A \multimap (B \multimap C)$$

for all simple games *A*, *B*, *C*.

Here, isomorphism means tree isomorphism.

## The category of simple games

Theorem.

The category *Games* is symmetric monoidal closed.

As such, it defines a model of the linear  $\lambda$ -calculus.



 $f: \mathbb{B} \multimap \mathbb{B}$ ,  $x: \mathbb{B} \vdash f(x): \mathbb{B}$ 



 $f: \mathbb{B} \multimap \mathbb{B}$ ,  $x: \mathbb{B} \vdash f(x): \mathbb{B}$ 



 $f : \mathbb{B} \multimap \mathbb{B} \vdash \lambda x . f(x) : \mathbb{B} \multimap \mathbb{B}$ 

## Currification

More generally, the transformation of the term

 $\Gamma$ ,  $x : A \vdash f : B$ 

into the term

 $\Gamma \vdash \lambda x \cdot f : A \multimap B$ 

does not alter the associated strategy, simply reorganizes it.

### **Cartesian product**

Given two simple games A and B, define

A & B

as the simple game

 $M_{A\&B} = M_A + M_B$  $\lambda_{A\&B} = \lambda_A + \lambda_B$  $P_{A\&B} = P_A \oplus P_B$ 

where  $P_A \oplus P_B$  is the **coalesced sum** of the pointed sets  $P_A$  and  $P_B$ . This means that every **nonempty** play in *A*&*B* is either in *A* or in *B*.

## The cartesian product

For every simple game *X*, there exists an isomorphism



This means that the game *A*&*B* is the **cartesian product** of *A* and *B*.

## The cartesian product

For every game *X*, there exists a bijection between the strategies

 $X \longrightarrow A\&B$ 

and the pair of strategies

$$X \longrightarrow A \qquad \qquad X \longrightarrow B.$$

## The cartesian product

Guess the two strategies

 $\pi_1 : A\&B \multimap A \qquad \qquad \pi_2 : A\&B \multimap B$ 

such that for every pair

 $f : X \multimap A$   $g : X \multimap B$ 

there exists a unique strategy

 $h : X \multimap A\&B$ 

making the diagram commute:



# **Categories of games as completions**

A categorical reconstruction of simple games

**Definition.** A **duality functor** on a category  $\mathscr{C}$  is a functor

 $D : \mathscr{C} \longrightarrow \mathscr{C}^{op}$ 

equipped with a natural bijection

 $\varphi_{A,B}$  :  $\mathscr{C}(A,DB) \cong \mathscr{C}(B,DA).$ 

#### Theorem.

The category *Games* is the free cartesian category *C* with a duality functor.

**Observation.** Every duality functor *D* induces an adjunction



witnessed by the series of bijection:

 $\mathscr{C}(A, DB) \cong \mathscr{C}(B, DA) \cong \mathscr{C}^{op}(DA, B)$ 

So, the category Games coincides with the free adjunction



#### where

- ▷ the category *A* has finite products noted & and true,
- $\triangleright$  the category  $\mathscr{B}$  has finite sums noted  $\oplus$  and false.

Accordingly, the category  $\Sigma Games$  coincides with the free adjunction



#### where

- $\triangleright$  the category  $\mathscr{A}$  has finite sums noted  $\oplus$  and false,
- $\triangleright$  the category  $\mathscr{B}$  has finite products noted & and true.

Here we note  $\Sigma \mathscr{C}$  for the free category with finite sums generated by  $\mathscr{C}$ 

## In particular...

▷ The simple game for the booleans is defined as

 $\mathbb{B} = R(L(\text{true}) \oplus L(\text{true}))$ 

▷ The tensor product of two simple games

$$A = R \bigoplus_{i} LA_{i} \qquad \qquad B = R \bigoplus_{j} LB_{j}$$

is defined as

$$A \otimes B = A \otimes B \& A \otimes B$$

where

$$A \otimes B = R \bigoplus_{i} L(A_i \otimes B) \qquad A \otimes B = R \bigoplus_{j} L(A \otimes B_j)$$

# Work in progress

An adjunction



is called bicomplete when

- ▷ A has small colimits
- $\triangleright$   $\mathscr{B}$  has small limits

#### Ongoing work:

Describe the bicompletion extending Whitman's construction to categories.