

Remarks on Gödel's Incompleteness Theorems

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Quiz 1

- Gödel's Completeness Theorem (1929):
The first order classical logic is complete.

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In particular:
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- Gödel's 1st Incompleteness Theorem (1931):
***PA** is incomplete.*

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quantifier elimination of real closed field.

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 - ω -consistency;
 - Gödel sentence vs. Rosser sentence;
 - Kreisel's remark;
 - Loeb's derivability conditions;
3. Connection to the present-day researches (5min):
 - Gödel hierarchy;
 - my own contributions.

1. Know the Statement Correctly

The Statement

If a first order theory T satisfies the following:

- ...
- ...
- ...

then the following hold:

1st incompleteness: T is incomplete;

2nd incompleteness: T cannot prove a sentence which represents the consistency of T .

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Three Completenesses

Semantical Completeness

“provable” \Leftrightarrow “true in any model”:

- (Weak) $\vdash \varphi \iff \models \varphi$;
- (Strong) $\Gamma \vdash \varphi \iff \Gamma \models \varphi$.

Negation Completeness

Arithmetical Completeness

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T can prove or disprove any sentence in L_T :

- $T \vdash \varphi$ or $T \vdash \neg\varphi$ for any sentence $\varphi \in L_T$.

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Arithmetical Completeness

“provable” \Leftrightarrow “true in the intended model”:

- $T \vdash \varphi \iff \mathbb{N} \models \varphi$.

Three Completenesses

Semantical Completeness

- Gödel-Henkin's completeness theorem;
- Kripke completeness
(modal logics, intuitionistic logic).

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Arithmetical Completeness

Σ_1^0 completeness (of \mathbb{Q} , PA, ZFC, etc.)

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The Statement (2)

If a first order theory T satisfies the following:

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The Statement (2)

If a first order theory T satisfies the following:

- T is consistent;
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- ...

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The Statement (2)

If a first order theory T satisfies the following:

- T is consistent;
- T is recursively axiomatizable;
- ...

then the following hold:

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The Statement (2)

If a first order theory T satisfies the following:

- T is consistent;
- T is recursively axiomatizable;
- T essentially contains Robinson Arithmetic Q ,

then the following hold:

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Consistency

A first order theory T is *consistent* iff

- $T \not\vdash \perp$
and/or
- $T \not\vdash \varphi$ for some φ
and/or
- either $T \not\vdash \varphi$ or $T \not\vdash \neg\varphi$ for any φ , i.e.,

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If T is *not* consistent,

- $T \vdash \varphi$ for any φ ;
- hence either $T \vdash \varphi$ or $T \vdash \neg\varphi$
(negation completeness).

The Statement (3)

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Recursive Axiomatizability

A first order theory T is *recursively axiomatizable* iff

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$\text{Th}(\mathbb{N}) = \{\varphi \in L_{\mathbf{PA}} \mid \mathbb{N} \models \varphi\}$ is negation complete.

Craig's Theorem

If $\{\ulcorner \varphi \urcorner \mid T \vdash \varphi\}$ is semi-decidable,
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(Proof) Take a recursive predicate R such that
$$T \vdash \varphi \iff \exists n R(\ulcorner\varphi\urcorner, n)$$
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Define the following recursive set of axioms

$$\Gamma = \{\psi \mid (\exists n, \ulcorner\varphi\urcorner < \ulcorner\psi\urcorner)(R(\ulcorner\varphi\urcorner, n) \ \& \ \psi \equiv \varphi \wedge \dots \wedge \varphi)\}.$$

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- $\psi \in \Gamma \Rightarrow T \vdash \varphi \ \& \ \psi \equiv \varphi \wedge \dots \wedge \varphi \Rightarrow T \vdash \psi;$
- $T \vdash \varphi \Rightarrow \exists n R(\ulcorner\varphi\urcorner, n) \Rightarrow \underbrace{\varphi \wedge \dots \wedge \varphi}_{n+1} \in \Gamma \Rightarrow \Gamma \vdash \varphi.$

Henkin Construction

Henkin's Lemma:

If $\Gamma \not\vdash \perp$ then there is maximal consistent $\Delta \supseteq \Gamma$.

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(Proof) Let φ_n 's enumerate all L formulae. Define

$$\Gamma_{n+1} := \begin{cases} \Gamma_n & \text{if } \Gamma_n \cup \{\varphi_n\} \vdash \perp \\ \Gamma_n \cup \{\varphi_n\} & \text{if } \Gamma_n \cup \{\varphi_n\} \not\vdash \perp. \end{cases}$$

starting from $\Gamma_0 := \Gamma$. Take $\Delta := \bigcup_{n \in \omega} \Gamma_n$. □

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Note:

The theory generated by Δ is negation complete: either $\varphi \in \Delta$ or $\neg\varphi \in \Delta$ holds for any $\varphi \in L$.

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Robinson Arithmetic Q

Language (function) $0; S(-); +, \cdot$; (relation) $<$.

- Axioms**
1. $\neg(S(x) = 0)$;
 2. $S(x) = S(y) \rightarrow x = y$;
 3. $x = 0 \vee \exists y(x = S(y))$;
 4. $x + 0 = x$; and $x + S(y) = S(x + y)$;
 5. $x \cdot 0 = 0$; and $x \cdot S(y) = (x \cdot y) + x$;
 6. $x < y \leftrightarrow \exists z(x + S(z) = y)$.

Robinson Arithmetic Q

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 5. $x \cdot 0 = 0$; and $x \cdot S(y) = (x \cdot y) + x$.
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Remarks

- first introduced by R. M. Robinson in 1950 w/o $<$;
- has no induction axiom (schema).

Theories containing \mathcal{Q}

- PA extends \mathcal{Q} by induction scheme:

$$\varphi(0) \wedge \forall x(\varphi(x) \rightarrow \varphi(S(x))) \rightarrow \forall x\varphi(x) \text{ for } \varphi \in L_{\mathcal{Q}}.$$

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- $\mathbf{I}\Sigma_n$ extends \mathbf{Q} by induction for Σ_n^0 formulae:

1. $\Sigma_n^0 = \{\exists x_n \forall x_{n-1} \dots Qx_1 \varphi(\vec{x}) \mid \varphi \in \Delta_0^0\}$ and

2. $\varphi \in \Delta_0^0$ iff all quantifiers in φ are bounded (i.e., of the forms $\forall x < t$ and $\exists x < t$).

Theories containing Q

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- PRA extends Q by

1. $L_{\text{PRA}} := L_Q \cup \{\underline{\mathcal{F}} \mid \mathcal{F} \in \text{PrimRec}\};$

2. induction for *quantifier-free* L_{PRA} formulae.

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- ZFC extends Q ...

Theories containing \mathbf{Q}

- \mathbf{PA} extends \mathbf{Q} by induction scheme:
 $\varphi(0) \wedge \forall x(\varphi(x) \rightarrow \varphi(S(x))) \rightarrow \forall x\varphi(x)$ for $\varphi \in L_{\mathbf{Q}}$.
- $\mathbf{I}\Sigma_n$ extends \mathbf{Q} by induction for Σ_n^0 formulae:
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- \mathbf{PRA} extends \mathbf{Q} by
 1. $L_{\mathbf{PRA}} := L_{\mathbf{Q}} \cup \{\underline{\mathcal{F}} \mid \mathcal{F} \in \text{PrimRec}\}$;
 2. induction for *quantifier-free* $L_{\mathbf{PRA}}$ formulae.
- \mathbf{ZFC} extends \mathbf{Q} ... really? in which sense?

Interpretation

An interpretation I of L in L' consists of:

- an L' formula $v_I(x)$, called universe;
- for function $f(\vec{x})$ of L , an L' formula $f^I(y, \vec{x})$;
- for relation $R(\vec{x})$ of L , an L' formula $R^I(\vec{x})$.

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Extend I to all L -terms and L -formulae:

- if $t(\vec{x}) \equiv f(t_1(\vec{x}), \dots, t_k(\vec{x}))$, then
 $t^I(y, \vec{x}) \equiv \exists z_1, \dots, z_k (\bigwedge_{i \leq k} t_i^I(z_i, \vec{x}) \wedge f^I(y, z_1, \dots, z_k))$;

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- if $\varphi \equiv R(t_1(\vec{x}), \dots, t_k(\vec{x}))$, then
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- $(\varphi \wedge \psi)^I \equiv \varphi^I \wedge \psi^I$; and $(\neg \varphi)^I \equiv \neg \varphi^I$;

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 $\varphi^I \equiv \exists z_1, \dots, z_k (\bigwedge_{i \leq k} t_i^I(z_i, \vec{x}) \wedge R^I(z_1, \dots, z_k))$;
- $(\varphi \wedge \psi)^I \equiv \varphi^I \wedge \psi^I$; and $(\neg \varphi)^I \equiv \neg \varphi^I$;
- $(\forall y \varphi(y))^I \equiv \forall y (v_I(y) \rightarrow \varphi(y)^I)$.

Interpretation 2

Given an interpretation I of L in L' .

- I is an interpretation in an L' theory T' iff
 1. $T' \vdash \exists x v_I(x)$;
 2. $T' \vdash \forall \vec{x}(v_I(\vec{x}) \rightarrow \exists! y(v_I(y) \wedge f^I(y, \vec{x})))$.

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- I is an interpretation of an L theory T in T' iff
 1. (as above);
 2. (as above);
 3. if $T \vdash \varphi$ then $T' \vdash \varphi^I$ for any $\varphi \in L$.

Theories ess. containing Q

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- ZFC essentially contains Q
by von Neumann interpretation v :
 1. $v_v(x) \equiv$ “ x is a finite von Neumann ordinal”;
 2. $S^v(y, x) \equiv y = x \cup \{x\}$, etc.;

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 - relaxing the notion of interpretation so that
double negation translation N is included:

$$(\varphi \vee \psi)^N \equiv \neg(\neg\varphi^N \wedge \neg\psi^N); (\exists x\varphi(x))^N \equiv \neg\forall x\neg\varphi(x)^N; \text{ etc.}$$

Presburger Arithmetic PresA

Language $L_{\text{PresA}} = \{0, S, +\}$;

- Axioms**
1. $\neg(S(x) = 0)$;
 2. $S(x) = S(y) \rightarrow x = y$;
 3. $x = 0 \vee \exists y(x = S(y))$;
 4. $x + 0 = x$; and $x + S(y) = S(x + y)$;
 5. induction for all L_{PresA} formulae.

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- hence not essentially contains Q.

Theory of real closed fields RCF

Language $L_{\text{RCF}} := \{0, 1, -, +, \cdot, <\}$;

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1. $x+0 = x$; $x+(-x) = 0$; $x+y = y+x$;
 2. $x \cdot 0 = 0$; $x \cdot (y+z) = x \cdot y + x \cdot z$; $x \cdot y = y \cdot x$;
 3. $x < y \rightarrow x+z < y+z$; $x > 0 \wedge y > 0 \rightarrow x \cdot y > 0$;
 4. $x > 0 \rightarrow \exists y(x = y \cdot y)$;
 5. $\forall x_{2n+1} \dots x_0 (x_{2n+1} \neq 0 \rightarrow \exists y (\sum_{i \leq 2n+1} x_i \cdot y^i = 0))$.

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Quiz 2 — Which is correct?

- Hilbert's Programme looks for:
a complete and decidable axiomatization of real numbers.

Gödel Incompleteness Theorem answers:
“impossible”.

- Tarski's Theorem (1951):
quantifier elimination of real closed field.
As a consequence, it yields:
a complete and decidable axiomatization of $(\mathbb{R}, 0, 1, -, +, \cdot, <)$.

The Statement (5)

If a first order theory T satisfies the following:

- T is consistent;
- T is recursively axiomatizable;
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then the following hold:

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Nelson's trick

There is an interpretation of $\text{IS}\Sigma_0 + \Omega_1$ in \mathbb{Q} .

- Main idea: define an $L_{\mathbb{Q}}$ formula $W(x)$ which intuitively means “ $<$ is well-founded below x ”;

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 1. $v_{\mathbf{n}}(x) \equiv W(x)$;
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As a consequence,

“ T ess. contains \mathbf{Q} ” \iff “ T ess. contains $\mathbf{I}\Sigma_0 + \Omega_1$ ”

Numeralwise representation

$R \subseteq \omega^n$ is numeralwise represented by $\varphi(\vec{x})$ iff

- $\mathbf{Q} \vdash \varphi(\overline{k_1}, \dots, \overline{k_n}) \iff R(k_1, \dots, k_n)$ and
- $\mathbf{Q} \vdash \neg\varphi(\overline{k_1}, \dots, \overline{k_n}) \iff \neg R(k_1, \dots, k_n)$,

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We have the following $L_{\mathbf{Q}}$ formulae (Σ_1^0 completeness):

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- $\mathbf{Q} \vdash \text{Prf}_T(\ulcorner\Lambda\urcorner, \ulcorner\varphi\urcorner) \iff \Lambda$ is a T -proof of φ
 $\mathbf{Q} \vdash \neg\text{Prf}_T(\ulcorner\Lambda\urcorner, \ulcorner\varphi\urcorner) \iff \Lambda$ is not a T -proof of φ
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Then it is natural to define

$$\text{Con}(T) := \neg\exists x \text{Prf}_T(x, \overline{\ulcorner\perp\urcorner}).$$

Ambiguity

Even if the following hold for all Λ and φ :

- $Q \vdash \text{Prf}_T(\overline{\Gamma\Lambda}, \overline{\Gamma\varphi}) \iff \Lambda$ is a T -proof of φ and
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where $\text{Con}^*(T) := \neg \exists x \text{Prf}_T^*(x, \overline{\perp})$.

The point here:

$$T \vdash \varphi(\overline{k}) \text{ for all } k \in \omega \not\Rightarrow T \vdash \forall x \varphi(x).$$

Quiz 3 — Which is correct?

- Gödel 2nd Incompleteness (1931):
PA cannot prove a sentence which represents the consistency of PA.
- Kreisel's Remark (1960):
PA does prove a sentence which represents the consistency of PA.

2. A Brief Look at the Proofs

Rosser's trick

Given Prf_T such that, for all Λ and φ ,

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we can define Prf_T^* by

$$\text{Prf}_T^*(x, u) : \equiv \text{Prf}(x, u) \wedge (\forall z < x) \forall v \neg(\text{neg}(u, v) \wedge \text{Prf}(z, v)).$$

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If T is consistent, \Leftarrow also holds.

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If T is consistent, \implies also holds.

Kreisel's remark (1960)

Since there is a proof Δ of $\neg\perp$, if T is consistent,

$$\mathbf{Q} \vdash (\forall x < \overline{\overline{\Delta}}) \neg \text{Prf}(x, \overline{\overline{\perp}}).$$

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Hence, \mathbf{Q} proves

$$\begin{aligned} \text{Prf}_T^*(x, \overline{\overline{\perp}}) &\equiv \text{Prf}_T(x, \overline{\overline{\perp}}) \wedge \\ &\quad (\forall z < x) \forall v \neg (\text{neg}(\overline{\overline{\perp}}, v) \wedge \text{Prf}_T(z, v)) \\ &\rightarrow \forall v \neg (\text{neg}(\overline{\overline{\perp}}, v) \wedge \text{Prf}_T(\overline{\overline{\Delta}}, v)) \\ &\leftrightarrow \neg \text{Prf}_T(\overline{\overline{\Delta}}, \overline{\overline{\neg\perp}}) \\ &\rightarrow \perp. \end{aligned}$$

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For any consistent recursively axiomatizable T ,

$$\mathbf{Q} \vdash \text{Con}^*(T).$$

The Statement (6)

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- T is consistent;
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then the following hold:

1st incompleteness: T is not complete;

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Gödel's result

If a first order theory T satisfies the following:

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If a first order theory T satisfies the following:

- T is ω -consistent;
- T is recursively axiomatizable;
- T essentially contains Robinson Arithmetic \mathbb{Q} ,

then the following hold:

1st incompleteness: T is not complete;

2nd incompleteness: T cannot prove a sentence which represents the consistency of T .

T is called ω -consistent iff there is no $\varphi(x) \in L_T$ s.t.

- $T \vdash \neg\varphi(\bar{k})$ for all $k \in \omega$;
- $T \vdash \exists x\varphi(x)$.

Gödel's Self-reference Lemma

Lemma For any $\varphi(x) \in L_Q$, there is a L_Q sentence θ s.t.

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Suppose $T \vdash \sigma$. There is a T -proof Λ of σ .

Then $\mathcal{Q} \vdash \text{Prf}_T(\overline{\Lambda}, \overline{\sigma})$, and $\mathcal{Q} \vdash \exists x \text{Prf}_T(x, \overline{\sigma})$.

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Suppose $T \vdash \neg\sigma$. Then $T \not\vdash \sigma$ by consistency.

Thus $Q \vdash \neg\text{Prf}_T(\overline{k}, \overline{\sigma})$ for all $k \in \omega$.

However, $T \vdash \exists x \text{Prf}_T(x, \overline{\sigma})$,

and so T is ω -inconsistent.

Rosser's enhancement

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Suppose $T \vdash \neg\sigma$. So $\mathbf{Q} \vdash \text{Prf}_T^*(\overline{\Delta}, \overline{\neg\sigma})$ for some Δ .

Since T is consistent, $\mathbf{Q} \vdash (\forall x < \overline{\Delta}) \neg \text{Prf}_T(x, \overline{\sigma})$.

But $T \vdash \exists x \text{Prf}_T^*(x, \overline{\sigma})$, i.e.,

$T \vdash \exists x (\text{Prf}_T(x, \overline{\sigma}) \wedge (\forall y < x) \neg \text{Prf}_T(y, \overline{\neg\sigma}))$.

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- To obtain the incompleteness without ω -consistency but only consistency, the key is Rosser's modification Prf_T^* for representing the notion "... is a proof of ...";
- but the corresponding consistency statement $\text{Con}^*(T)$ is provable even in \mathbf{Q} and hence in T .

Loeb's derivability conditions

A “canonicity” on $Pv_T(u) \equiv \exists x \text{Prf}_T(x, u)$:

- (1) If $T \vdash \varphi$ then $\mathbf{Q} \vdash Pv_T(\overline{\overline{\Gamma\varphi}})$;
- (2) $\mathbf{I}\Sigma_0 + \mathbf{\Omega}_1 \vdash Pv_T(\overline{\overline{\Gamma\varphi \rightarrow \psi}}) \rightarrow (Pv_T(\overline{\overline{\Gamma\varphi}}) \rightarrow Pv_T(\overline{\overline{\Gamma\psi}}))$
- (3) $\mathbf{I}\Sigma_0 + \mathbf{\Omega}_1 \vdash Pv_T(\overline{\overline{\Gamma\varphi}}) \rightarrow Pv_T(\overline{\overline{\overline{\overline{\Gamma Pv_T(\overline{\overline{\Gamma\varphi}})}}}})$.

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(3) yields $\mathbf{I}\Sigma_0 + \mathbf{\Omega}_1 \vdash P_{v_T}(\overline{\Gamma\sigma\overline{}}) \rightarrow P_{v_T}(\overline{\Gamma\perp\overline{}})$, and so $\mathbf{I}\Sigma_0 + \mathbf{\Omega}_1 \vdash \neg P_{v_T}(\overline{\Gamma\perp\overline{}}) \rightarrow \sigma$. Since $T \not\vdash \sigma$, done!

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In the case of $T = \mathbb{Q}$:

- everything must be through Nelson's interpretation \mathfrak{n} of $\mathbb{I}\Sigma_0 + \Omega_1$ in \mathbb{Q} ;

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What's the difference between them?

3. Connection to the present-day researches

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While there is another way to obtain (A), e.g., constructing a model M s.t. $M \models S$ but $M \not\models T$, practically the only way to obtain (B) is showing $T \vdash \text{Con}(S)$.

Gödel hierarchy

For theories T and S which are consistent, recursively axiomatizable, essentially containing \mathbf{Q} ,

- $S < T$ iff $T \vdash \text{Con}(S)$;
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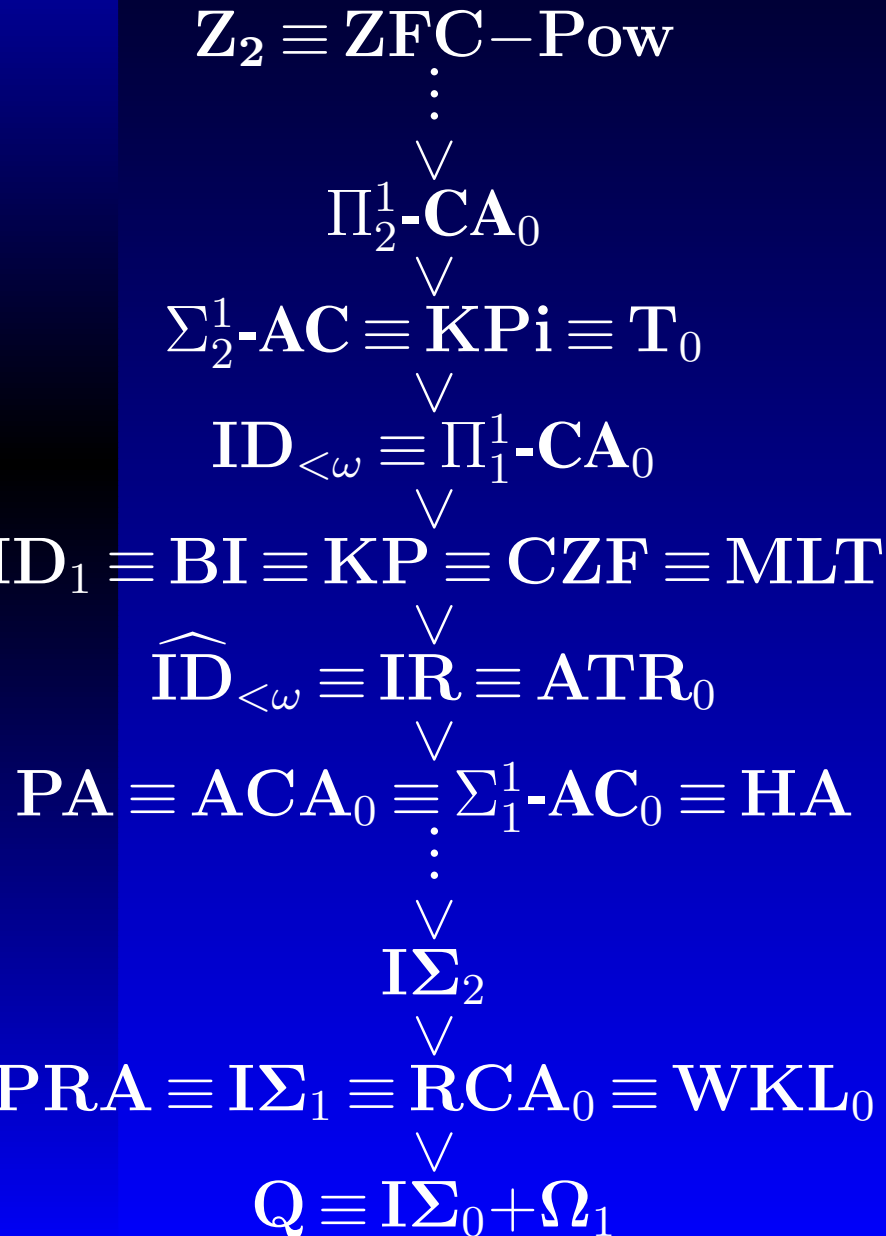
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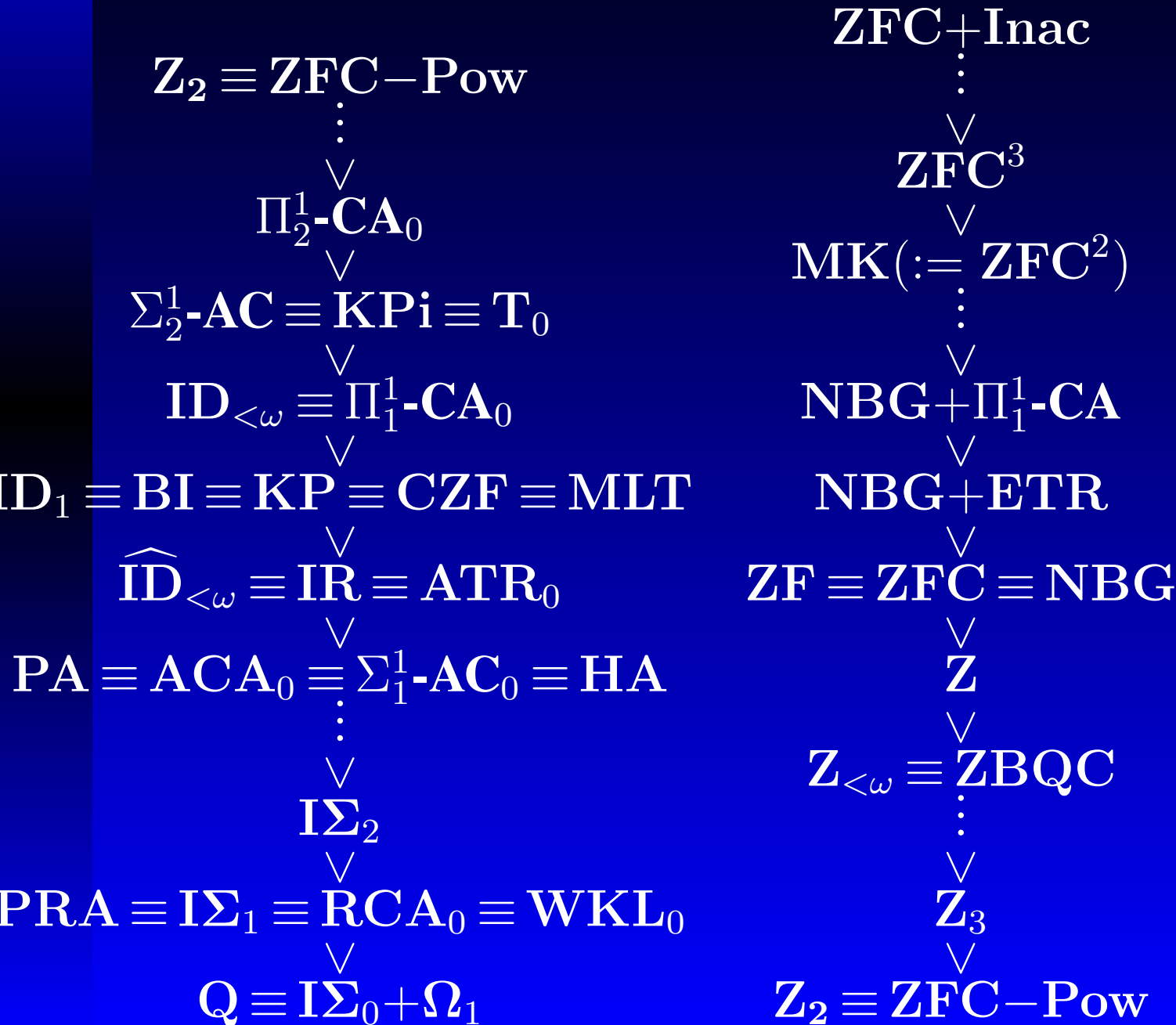
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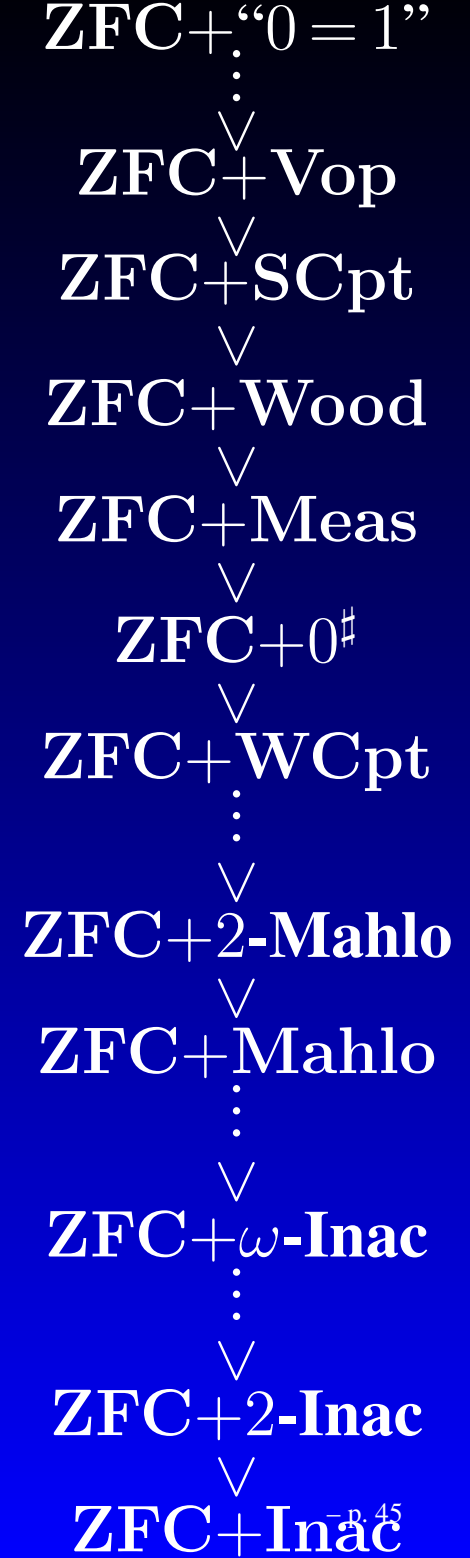
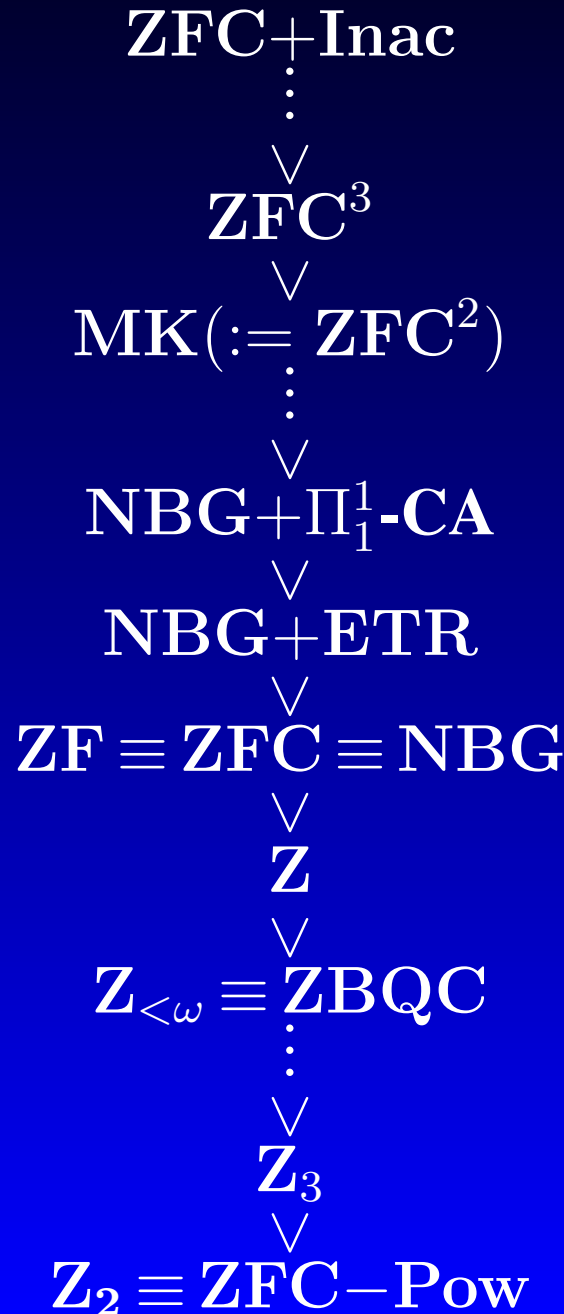
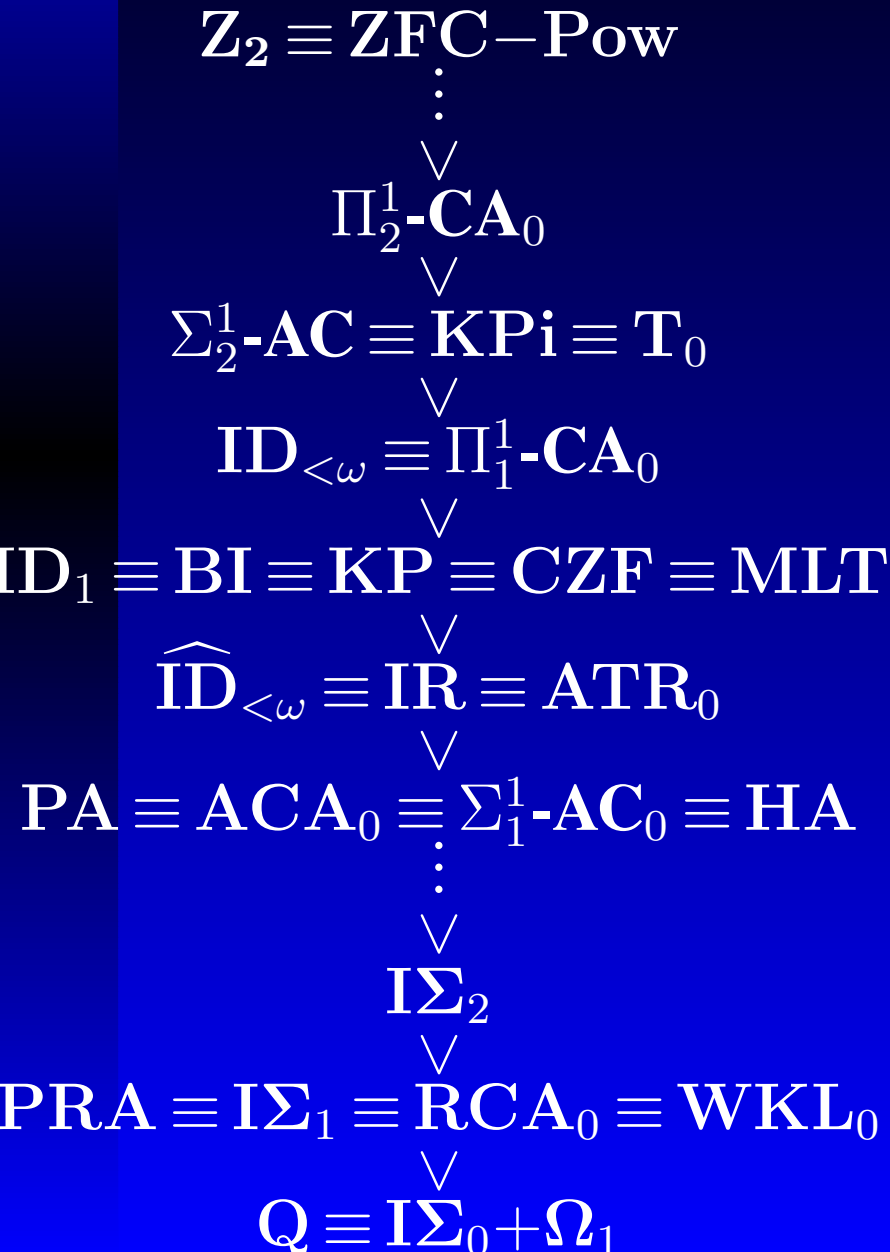
Picture of the hierarchy



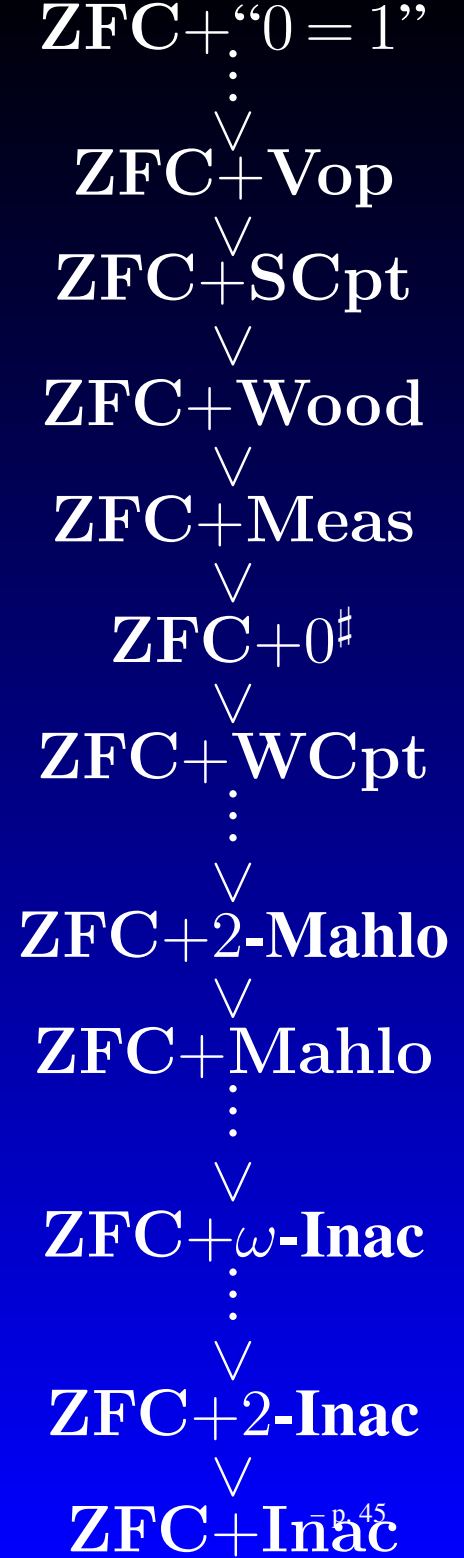
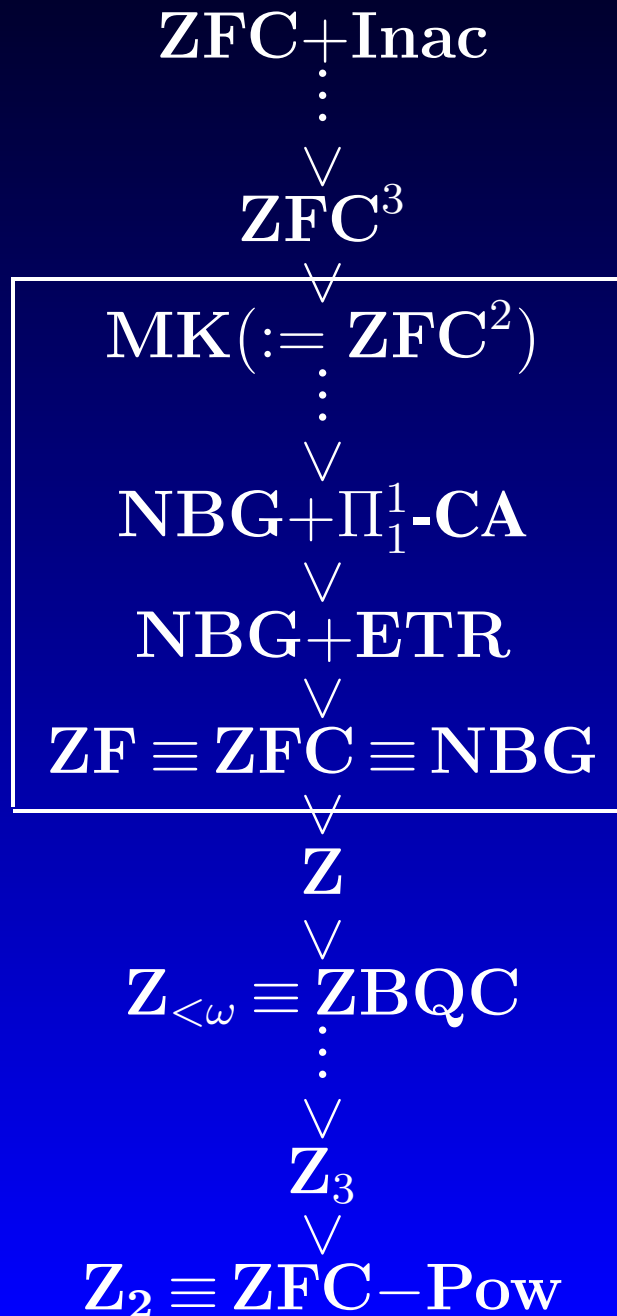
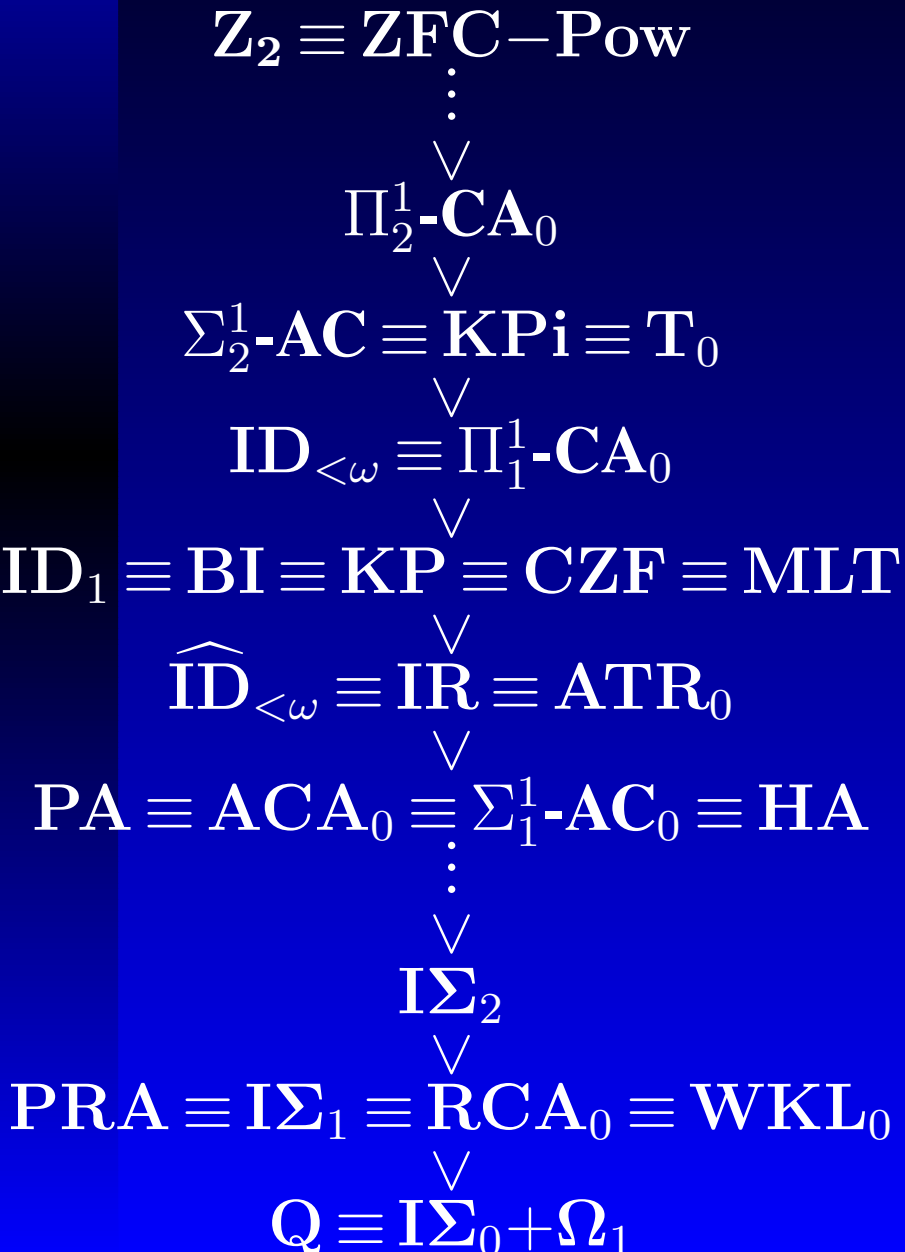
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